

State of Rhode Island and Providence Plantations

# Personnel Supplement



## Fiscal Year 2012

Lincoln D. Chafee, Governor

# Department of Business Regulation

## Agency Summary

	FY 2011		FY 2012	
	FTE	Cost	FTE	Cost
<b>Distribution by Category</b>				
Classified	78.0	5,282,334	79.0	5,552,197
Unclassified	15.0	1,106,894	14.0	1,096,690
Turnover	-	(516,823)	-	(241,598)
Pay Reduction Days	-	(93,865)	-	-
<b>Total Salaries</b>	<b>93.0</b>	<b>\$5,778,540</b>	<b>93.0</b>	<b>\$6,407,289</b>
<b>Benefits</b>				
Retirement		1,212,828		1,469,029
Medical		996,354		1,131,374
FICA		442,088		483,806
Retiree Health		395,313		442,324
Payroll Accrual		32,472		-
<b>Total Salaries and Benefits</b>	<b>93.0</b>	<b>\$8,857,595</b>	<b>93.0</b>	<b>\$9,933,822</b>
Cost Per FTE Position		95,243		106,815
Statewide Benefit Assessment		233,196		255,034
<b>Payroll Costs</b>	<b>93.0</b>	<b>\$9,090,791</b>	<b>93.0</b>	<b>\$10,188,856</b>
<b>Purchased Services</b>				
Training and Educational Services		10,000		10,000
Legal Services		40,805		9,333
Management and Consultant Services		1,388,328		1,836,670
Clerical and Temporary Services		2,760		2,760
Other Contract Services		4,492		4,492
<b>Total</b>		<b>\$1,446,385</b>		<b>\$1,863,255</b>
<b>Total Personnel</b>	<b>93.0</b>	<b>\$10,537,176</b>	<b>93.0</b>	<b>\$12,052,111</b>

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# Department of Business Regulation

## Agency Summary

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	FY 2011		FY 2012	
	<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
<b>Distribution by Source of Funds</b>				
General Revenue	84.8	8,504,619	84.8	9,285,994
Federal Funds	4.0	721,530	4.0	1,429,569
Restricted Receipts	4.2	1,311,027	4.2	1,336,548
<b>Total: All Funds</b>	<b>93.0</b>	<b>\$10,537,176</b>	<b>93.0</b>	<b>\$12,052,111</b>

# Department of Business Regulation

## Central Management

	<u>Grade</u>	<u>FY 2011</u>		<u>FY 2012</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
<b>Classified</b>					
Deputy Director	0144A	1.0	125,419	1.0	129,440
Chief of Legal Services	0139A	1.0	93,942	1.0	96,762
Deputy Chief of Legal Services	0137A	1.0	85,679	1.0	92,443
Administrator, Financial Management	0137A	1.0	80,426	1.0	87,108
Systems Analyst	0124A	1.0	58,662	1.0	60,403
Legal Assistant	0119A	1.0	36,876	1.0	38,861
<b>Subtotal</b>		<b>6.0</b>	<b>\$481,004</b>	<b>6.0</b>	<b>\$505,017</b>
<b>Unclassified</b>					
Director, Dept. of Business Regulation	0945 K	1.0	105,738	1.0	101,598
Project Coordinator	0826 A	1.0	66,800	1.0	69,142
Executive Secretary	0819 A	1.0	47,530	1.0	48,956
<b>Subtotal</b>		<b>3.0</b>	<b>220,068</b>	<b>3.0</b>	<b>219,696</b>
Turnover			(40,197)		(21,741)
Pay Reduction Days			(10,231)		-
<b>Total Salaries</b>		<b>9.0</b>	<b>\$650,644</b>	<b>9.0</b>	<b>\$702,972</b>
<b>Benefits</b>					
Retirement			137,331		164,122
Medical			99,752		115,619
FICA			49,716		53,876
Retiree Health			44,531		48,995
Payroll Accrual			3,683		-
<b>Total Salaries and Benefits</b>		<b>9.0</b>	<b>\$985,657</b>	<b>9.0</b>	<b>\$1,085,584</b>
Cost Per FTE Position			109,517		120,620
Statewide Benefit Assessment			26,434		28,567
<b>Payroll Costs</b>		<b>9.0</b>	<b>\$1,012,091</b>	<b>9.0</b>	<b>\$1,114,151</b>

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# Department of Business Regulation

## Central Management

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	<u>Grade</u>	<u>FY 2011</u>		<u>FY 2012</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
<b>Purchased Services</b>					
Other Contract Services			3,452		3,452
<b>Total</b>			<b>\$3,452</b>		<b>\$3,452</b>
<b>Total Personnel</b>		<b>9.0</b>	<b>\$1,015,543</b>	<b>9.0</b>	<b>\$1,117,603</b>
<b>Distribution by Source of Funds</b>					
General Revenue		9.0	1,015,543	9.0	1,117,603
<b>Total: All Funds</b>		<b>9.0</b>	<b>\$1,015,543</b>	<b>9.0</b>	<b>\$1,117,603</b>

# Department of Business Regulation

## Banking Regulation

	<u>Grade</u>	<u>FY 2011</u>		<u>FY 2012</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
<b>Classified</b>					
State Chief Bank Examiner	0139 A	1.0	103,352	1.0	106,426
Supervisor of Examinations	0037 A	1.0	91,106	1.0	93,746
Assistant Supervisor of Examinations	0035 A	4.0	334,473	4.0	348,083
Principal Bank Examiner	0031 A	1.0	67,167	1.0	69,182
Principal License Examiner - Banking	0031 A	2.0	149,606	2.0	154,669
Senior Bank Examiner	0028 A	2.0	121,021	2.0	126,725
Bank Examiner	0024 A	3.0	142,485	3.0	149,586
Systems Analyst	0024 A	1.0	58,534	1.0	60,280
<b>Subtotal</b>		<b>15.0</b>	<b>\$1,067,744</b>	<b>15.0</b>	<b>\$1,108,697</b>
Turnover			(109,392)		(33,261)
Pay Reduction Days			(16,130)		-
<b>Total Salaries</b>		<b>15.0</b>	<b>\$942,222</b>	<b>15.0</b>	<b>\$1,075,436</b>
<b>Benefits</b>					
Retirement			199,172		247,134
Medical			144,602		165,528
FICA			73,325		82,271
Retiree Health			64,602		73,773
Payroll Accrual			5,485		-
<b>Total Salaries and Benefits</b>		<b>15.0</b>	<b>\$1,429,408</b>	<b>15.0</b>	<b>\$1,644,142</b>
Cost Per FTE Position			95,294		109,609
Statewide Benefit Assessment			38,339		43,019
<b>Payroll Costs</b>		<b>15.0</b>	<b>\$1,467,747</b>	<b>15.0</b>	<b>\$1,687,161</b>

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# Department of Business Regulation

## Banking Regulation

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	<u>Grade</u>	<u>FY 2011</u>		<u>FY 2012</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
<b>Purchased Services</b>					
Clerical and Temporary Services			720		720
Other Contract Services			640		640
<b>Total</b>			<b>\$1,360</b>		<b>\$1,360</b>
<b>Total Personnel</b>		<b>15.0</b>	<b>\$1,469,107</b>	<b>15.0</b>	<b>\$1,688,521</b>
<b>Distribution by Source of Funds</b>					
General Revenue		15.0	1,469,107	15.0	1,688,521
<b>Total: All Funds</b>		<b>15.0</b>	<b>\$1,469,107</b>	<b>15.0</b>	<b>\$1,688,521</b>

# Department of Business Regulation

## Securities Regulation

	<u>Grade</u>	<u>FY 2011</u>		<u>FY 2012</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
<b>Classified</b>					
Deputy Director, DBR	0144 A	1.0	122,174	1.0	129,688
Chief Securities Examiner	0137 A	1.0	83,804	1.0	86,318
Principial Securities Examiner	0031 A	1.0	69,870	1.0	72,530
Senior Securities Examiner	0028 A	1.0	51,245	1.0	54,665
Securities Examiner	0024 A	3.0	152,913	3.0	160,374
Assistant Administrative Officer	0021 A	1.0	52,070	1.0	53,625
Licensing Aide	0015 A	1.0	35,601	2.0	81,410
<b>Subtotal</b>		<b>9.0</b>	<b>\$567,677</b>	<b>10.0</b>	<b>\$638,610</b>
Turnover			(45,579)		(19,158)
Pay Reduction Days			(8,593)		-
<b>Total Salaries</b>		<b>9.0</b>	<b>\$513,505</b>	<b>10.0</b>	<b>\$619,452</b>
<b>Benefits</b>					
Retirement			108,503		142,349
Medical			115,311		144,473
FICA			39,304		46,613
Retiree Health			35,193		42,494
Payroll Accrual			2,967		-
<b>Total Salaries and Benefits</b>		<b>9.0</b>	<b>\$814,783</b>	<b>10.0</b>	<b>\$995,381</b>
Cost Per FTE Position			90,531		99,538
Statewide Benefit Assessment			20,886		24,780
<b>Payroll Costs</b>		<b>9.0</b>	<b>\$835,669</b>	<b>10.0</b>	<b>\$1,020,161</b>
<b>Total Personnel</b>		<b>9.0</b>	<b>\$835,669</b>	<b>10.0</b>	<b>\$1,020,161</b>
<b>Distribution by Source of Funds</b>					
General Revenue		9.0	835,669	10.0	1,020,161
<b>Total: All Funds</b>		<b>9.0</b>	<b>\$835,669</b>	<b>10.0</b>	<b>\$1,020,161</b>



# Department of Business Regulation

## Insurance Regulation

	<u>Grade</u>	<u>FY 2011</u>		<u>FY 2012</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
<b>Classified</b>					
Deputy Director, DBR	0144 A	1.0	126,681	1.0	134,757
Deputy Chief of Legal Services	0139 A	1.0	92,024	1.0	94,658
Chief Insurance Examiner	0139 A	2.0	207,049	2.0	215,463
Chief Property & Casualty Insurance Analyst	0137 A	1.0	97,307	1.0	100,180
Insurance Examiner-In-Charge	0036 A	7.0	635,140	7.0	656,923
Market Conduct Examiner-in-Charge	0036 A	1.0	64,068	1.0	74,099
Senior Insurance Rate Analyst	0031 A	4.0	283,745	4.0	295,258
Principal Licensing Insurance Examiner	0031 A	1.0	78,424	1.0	80,727
Principal Insurance Exam - EDP & Auto Sys	0031 A	1.0	67,290	1.0	72,476
Senior Market Conduct Examiner	0028 A	2.0	115,488	2.0	125,281
Senior Insurance Examiner	0028 A	4.0	258,913	4.0	267,126
Insurance Rate Analyst (Health)	0028 A	1.0	62,537	1.0	64,413
Administrative Officer	0024 A	1.0	58,534	1.0	60,280
Insurance Examiner	0024 A	3.0	143,404	3.0	149,992
Clerk Secretary	0016 A	1.0	43,667	1.0	45,272
Licensing Aide	0015 A	4.0	159,726	4.0	165,022
<b>Subtotal</b>		<b>35.0</b>	<b>\$2,493,997</b>	<b>35.0</b>	<b>\$2,601,927</b>
<b>Unclassified</b>					
Health Insurance Commissioner	0854A	1.0	187,734	-	-
Deputy Executive Assistant	0841A	1.0	92,152	-	-
Principal Policy Associate	0837A	1.0	76,925	-	-
Director of Consumer Protection/Educ. Prog.	0835A	1.0	71,853 <sup>(1)</sup>	1.0	73,706
Asst. Administrator/Management & Finance	0834A	1.0	66,177 <sup>(1)</sup>	-	-
Special Projects Coordinator	0829A	1.0	54,849 <sup>(1)</sup>	-	-
Administrative Officer	0822A	1.0	50,917	-	-
Accountant	0819A	1.0	46,039	1.0	47,420
Administrative Secretary	0819A	1.0	48,268	1.0	49,688
<b>Subtotal</b>		<b>9.0</b>	<b>\$694,914</b>	<b>3.0</b>	<b>\$170,814</b>
Turnover			(318,346)		(137,753)
Pay Reduction Days			(44,917)		-
<b>Total Salaries</b>		<b>44.0</b>	<b>\$2,825,648</b>	<b>38.0</b>	<b>\$2,634,988</b>

# Department of Business Regulation

## Insurance Regulation

	<u>Grade</u>	<u>FY 2011</u>		<u>FY 2012</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
<b>Benefits</b>					
Retirement			596,333		605,518
Medical			440,988		443,830
FICA			213,914		200,496
Retiree Health			195,809		183,085
Payroll Accrual			15,838		-
<b>Total Salaries and Benefits</b>		<b>44.0</b>	<b>\$4,288,530</b>	<b>38.0</b>	<b>\$4,067,917</b>
Cost Per FTE Position			97,467		107,050
Statewide Benefit Assessment			114,789		105,401
<b>Payroll Costs</b>		<b>44.0</b>	<b>\$4,403,319</b>	<b>38.0</b>	<b>\$4,173,318</b>
<b>Purchased Services</b>					
Training and Educational Services			10,000		10,000
Legal Services			33,110		2,448
Management and Consultant Services			1,388,328		847,338
Clerical and Temporary Services			200		200
<b>Total</b>			<b>\$1,431,638</b>		<b>\$859,986</b>
<b>Total Personnel</b>		<b>44.0</b>	<b>\$5,834,957</b>	<b>38.0</b>	<b>\$5,033,304</b>
<b>Distribution by Source of Funds</b>					
General Revenue		38.4	4,107,716	35.4	3,899,295
Federal Funds		4.0	721,530	1.0	120,020
Restricted Receipts		1.6	1,005,711	1.6	1,013,989
<b>Total: All Funds</b>		<b>44.0</b>	<b>\$5,834,957</b>	<b>38.0</b>	<b>\$5,033,304</b>

# Department of Business Regulation

## Office of Health Insurance Commissioner

	<u>Grade</u>	FY 2011		<sup>(2)</sup>	FY 2012	
		<u>FTE</u>	<u>Cost</u>		<u>FTE</u>	<u>Cost</u>
<b>Unclassified</b>						
Health Insurance Commissioner	0854A	-	-		1.0	193,366
Deputy Executive Assistant	0841A	-	-		1.0	98,501
Principal Policy Associate	0837A	-	-		1.0	82,165
Asst. Administrator/Management & Finance	0834A	-	-	(1)	1.0	68,830
Special Projects Coordinator	0829A	-	-	(1)	1.0	56,394
Administrative Officer	0822A	-	-		1.0	52,445
<b>Subtotal</b>		-	-		<b>6.0</b>	<b>\$551,701</b>
Turnover						(10,352)
<b>Total Salaries</b>		-	-		<b>6.0</b>	<b>\$541,349</b>
<b>Benefits</b>						
Retirement						124,437
Medical						81,859
FICA						36,820
Retiree Health						37,147
<b>Total Salaries and Benefits</b>		-	-		<b>6.0</b>	<b>\$821,612</b>
Cost Per FTE Position						136,935
Statewide Benefit Assessment						21,660
<b>Payroll Costs</b>		-	-		<b>6.0</b>	<b>\$843,272</b>
<b>Purchased Services</b>						
Management and Consultant Services						989,332
<b>Total</b>						<b>\$989,332</b>
<b>Total Personnel</b>		-	-		<b>6.0</b>	<b>\$1,832,604</b>
<b>Distribution by Source of Funds</b>						
General Revenue		-	-		3.0	523,055
Federal Funds		-	-		3.0	1,309,549
<b>Total: All Funds</b>		-	-		<b>6.0</b>	<b>\$1,832,604</b>

# Department of Business Regulation

## Board of Accountancy

	<u>Grade</u>	<u>FY 2011</u>		<u>FY 2012</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
<b>Classified</b>					
Administrative Officer	0822 A	1.0	51,965	1.0	54,942
Administrative Aide	0814 A	1.0	39,022	1.0	40,855
<b>Subtotal</b>		<b>2.0</b>	<b>\$90,987</b>	<b>2.0</b>	<b>\$95,797</b>
Turnover			(455)		(2,874)
Pay Reduction Days			(2,792)		-
<b>Total Salaries</b>		<b>2.0</b>	<b>\$87,740</b>	<b>2.0</b>	<b>\$92,923</b>
<b>Benefits</b>					
Retirement			18,812		21,354
Medical			20,104		20,976
FICA			6,926		7,108
Retiree Health			6,101		6,375
Payroll Accrual			459		-
<b>Total Salaries and Benefits</b>		<b>2.0</b>	<b>\$140,142</b>	<b>2.0</b>	<b>\$148,736</b>
Cost Per FTE Position			70,071		74,368
Statewide Benefit Assessment			3,622		3,717
<b>Payroll Costs</b>		<b>2.0</b>	<b>\$143,764</b>	<b>2.0</b>	<b>\$152,453</b>
<b>Purchased Services</b>					
Legal Services			7,695		6,885
<b>Total</b>			<b>\$7,695</b>		<b>\$6,885</b>
<b>Total Personnel</b>		<b>2.0</b>	<b>\$151,459</b>	<b>2.0</b>	<b>\$159,338</b>
<b>Distribution by Source of Funds</b>					
General Revenue		2.0	151,459	2.0	159,338
<b>Total: All Funds</b>		<b>2.0</b>	<b>\$151,459</b>	<b>2.0</b>	<b>\$159,338</b>

# Department of Business Regulation

## Commercial Licensing and Racing and Athletics

	<u>Grade</u>	<u>FY 2011</u>		<u>FY 2012</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
<b>Classified</b>					
Administrator - Real Estate	0135A	1.0	85,421	1.0	87,873
Chief of Auto Body	0133A	1.0	83,325	1.0	85,808
Pari-Mutuel Operations Specialist	0326 A	2.0	106,886	2.0	110,094
Implementation Aide	0122 A	1.0	49,851	1.0	53,346
Licensing Control Investigator	0018 A	1.0	47,175	1.0	49,284
Licensing Aide	0015 A	5.0	208,267	5.0	215,744
<b>Subtotal</b>		<b>11.0</b>	<b>\$580,925</b>	<b>11.0</b>	<b>\$602,149</b>
<b>Unclassified</b>					
Hourly Employees		-	41,880	-	43,136
<b>Subtotal</b>		<b>-</b>	<b>41,880</b>	<b>-</b>	<b>43,136</b>
Turnover			(2,104)		(13,119)
Pay Reduction Days			(8,906)		-
<b>Total Salaries</b>		<b>11.0</b>	<b>\$611,795</b>	<b>11.0</b>	<b>\$632,166</b>
<b>Benefits</b>					
Retirement			121,657		139,297
Medical			129,996		128,625
FICA			47,483		48,360
Retiree Health			39,015		43,045
Payroll Accrual			3,208		-
<b>Total Salaries and Benefits</b>		<b>11.0</b>	<b>\$953,154</b>	<b>11.0</b>	<b>\$991,493</b>
Cost Per FTE Position			86,650		90,136
Statewide Benefit Assessment			23,154		23,570
<b>Payroll Costs</b>		<b>11.0</b>	<b>\$976,308</b>	<b>11.0</b>	<b>\$1,015,063</b>
<b>Purchased Services</b>					
Clerical and Temporary Services			640		640
Other Contract Services			400		400
<b>Total</b>			<b>\$1,040</b>		<b>\$1,040</b>
<b>Total Personnel</b>		<b>11.0</b>	<b>\$977,348</b>	<b>11.0</b>	<b>\$1,016,103</b>

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# Department of Business Regulation

## Commercial Licensing and Racing and Athletics

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	<u>Grade</u>	<u>FY 2011</u>		<u>FY 2012</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
<b>Distribution by Source of Funds</b>					
General Revenue		8.4	672,032	8.4	693,544
Restricted Receipts		2.6	305,316	2.6	322,559
<b>Total: All Funds</b>		<b>11.0</b>	<b>\$977,348</b>	<b>11.0</b>	<b>\$1,016,103</b>

# Department of Business Regulation

## Design Professionals

	<u>Grade</u>	<u>FY 2011</u>		<u>FY 2012</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
<b>Unclassified</b>					
Administrative Assistant	823A	1.0	42,738	-	-
Administrative Assistant II	315A	1.0	45,930	1.0	48,204
Administrative Assistant	314A	1.0	61,364	1.0	63,139
<b>Subtotal</b>		<b>3.0</b>	<b>\$150,032</b>	<b>2.0</b>	<b>\$111,343</b>
Turnover			(750)		(3,340)
Pay Reduction Days			(2,296)		-
<b>Total Salaries</b>		<b>3.0</b>	<b>\$146,986</b>	<b>2.0</b>	<b>\$108,003</b>
<b>Benefits</b>					
Retirement			31,020		24,818
Medical			45,601		30,464
FICA			11,420		8,262
Retiree Health			10,062		7,410
Payroll Accrual			832		-
<b>Total Salaries and Benefits</b>		<b>3.0</b>	<b>\$245,921</b>	<b>2.0</b>	<b>\$178,957</b>
Cost Per FTE Position			81,974		89,479
Statewide Benefit Assessment			5,972		4,320
<b>Payroll Costs</b>		<b>3.0</b>	<b>\$251,893</b>	<b>2.0</b>	<b>\$183,277</b>
<b>Purchased Services</b>					
Clerical and Temporary Services			1,200		1,200
<b>Total</b>			<b>\$1,200</b>		<b>\$1,200</b>
<b>Total Personnel</b>		<b>3.0</b>	<b>\$253,093</b>	<b>2.0</b>	<b>\$184,477</b>
<b>Distribution by Source of Funds</b>					
General Revenue		3.0	253,093	2.0	184,477
<b>Total: All Funds</b>		<b>3.0</b>	<b>\$253,093</b>	<b>2.0</b>	<b>\$184,477</b>